

[222] Attorney Docket No. :AGI-127

PCT

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

International Appl. No. : PCT/US00/24659
International Filing Date : 8 September 2000 (08.09.00)
Priority Date Claimed : 10 September 1999 (10.09.99)
Title of Invention : COMPOSITIONS AND METHODS FOR
MODIFICATION OF SKIN LIPID
CONTENT
U.S. Serial No. : Not Yet Assigned
Applicant (U.S.) : David A. Brown and Daniel B. Yarosh

BOX PCT
COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231
ATTENTION: DO/EO/US

VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am :

- () the owner of the small business concern
identified below:
- (X) an official of the small business concern
empowered to act on behalf of the concern
identified below:

NAME OF CONCERN: Applied Genetics Incorporated Dermatics

ADDRESS OF CONCERN: 205 Buffalo Avenue
Freeport, New York 11520

I hereby declare that the above identified small business concern qualifies as a
small business concern as defined in 13 CFR 121.3-18, and reproduced in 37
CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of
Title 35, United States Code, in that the number of employees of the concern,

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including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

COMPOSITIONS AND METHODS FOR
MODIFICATION OF SKIN LIPID CONTENT

by inventors David A. Brown and Daniel B. Yarosh

described in International Application No. PCT/US00/24659
filed September 8, 2000.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: None

ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUS. CONCERN ☐ NONPROFIT ORGAN.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any

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patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Daniel B. Yarosh

TITLE OF PERSON

OTHER THAN OWNER: President

ADDRESS OF PERSON
SIGNING:

Applied Genetics Incorporated Dermatics
205 Buffalo Avenue
Freeport, New York 11520

SIGNATURE:

Daniel Yarosh

DATE:

March 5, 2002

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MAURICE KLEE

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Modified PTO/SB/01 (12-97)

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	AGI-127
	First Named Inventor	Brown
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
Examiner Name		

☐ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND METHODS FOR MODIFICATION OF SKIN LIPID CONTENT

the specification of which (Title of the invention)

☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) **09/08/2000** as United States Application Number or PCT International Application Number **PCT/US00/24659** and is being amended **simultaneously herewith** (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/153,378	09/10/1999

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 385(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent
NumberParent Filing Date
(MM/DD/YYYY)Parent Patent Number
(If applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed belowPlace Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number
Maurice M. Klee	30,399		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number
or Bar Code LabelOR ☒ Correspondence address below

Name	Maurice M. Klee, Ph.D.						
Address	Attorney at Law						
Address	1951 Burr Street						
City	Fairfield	State	CT	ZIP	06430		
Country	US	Telephone	(203) 255-1400		FAX	(203) 254-1101	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

David A.

Brown

Inventor's
Signature03-05-02
Date

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☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheets PTO/SB/02A attached hereto.

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

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Given Name (first and middle (if any))

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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

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